

# Understanding and Evaluating SMT Solvers

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Part I

# Understanding SMT Solvers

## An Example in a Bottle

1. We produce 1L, 2L, and 3L bottles.
2. The price of a bottle is the volume plus four times the wall thickness (in mm).
3. The price must be less than 4€.
4. If the new machine is broken, we cannot produce 3L bottles, and the wall thickness must be more than 1mm.
5. The new machine is broken.
6. For all bottle sizes, the all thickness can at most be the volume in liters.

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To solve this, we must understand:

- Logic: **and, if then**
- Arithmetic: **four times the wall thickness**
- Universal statements: **for all**

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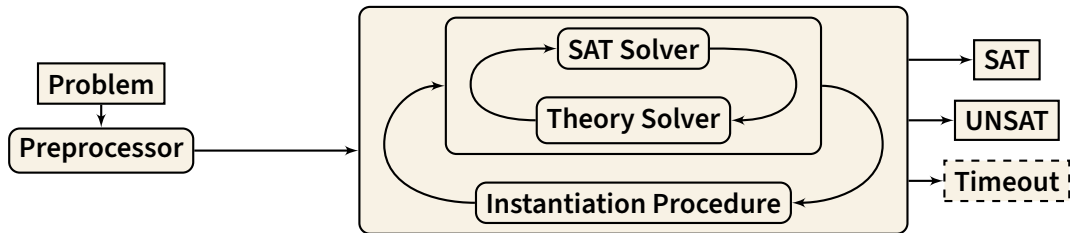
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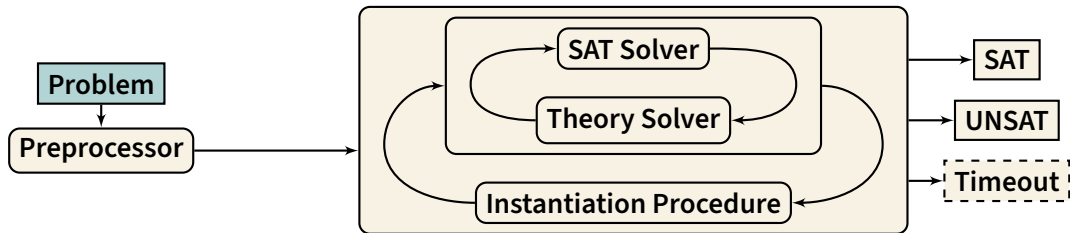
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This is **Satisfiability Modulo Theories**

## SMT Solving As A Diagram



## SMT Solving As A Diagram



## An Example: Problem Specification

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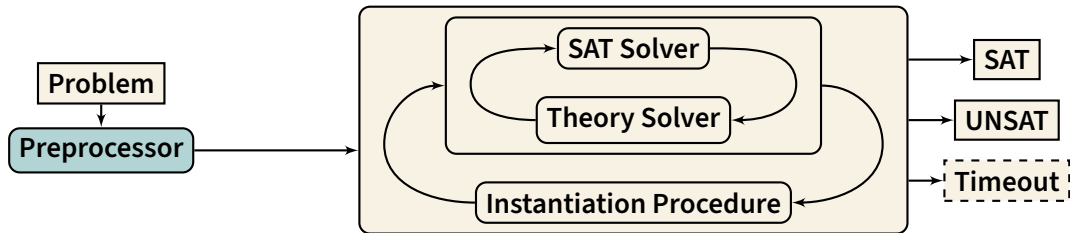


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1.  $v = 1 \vee v = 2 \vee v = 3$
2.  $p = v + 2t$
3.  $p < 4$
4.  $b \rightarrow (v \neq 3 \wedge t > 1)$
5.  $b$
6.  $\forall z. v = z \rightarrow t \leq z$

## SMT Solving As A Diagram



## An Example: Preprocessing

1.  $v = 1 \vee v = 2 \vee v = 3$
2.  $v + 2t < p$
3.  $p = 4$
4.  $b \rightarrow (\neg v = 3 \wedge t > 1)$
5.  $b$
6.  $\forall z. v = z \rightarrow t \leq z$

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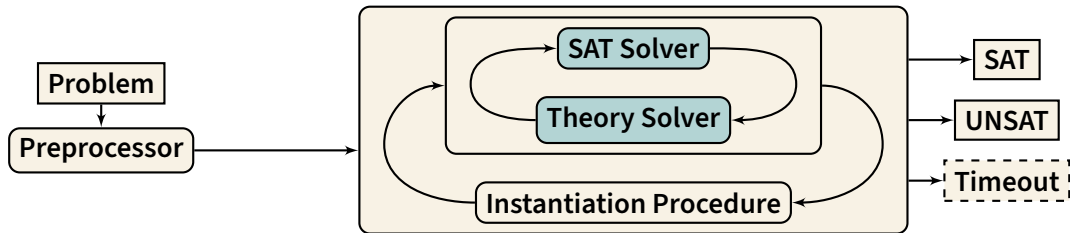
4.  $\neg b \vee \neg v = 3$

$\neg b \vee 1 < t$

5.  $b$

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## SMT Solving As A Diagram



## An Example: The Ground Solver

- $v = 1 \vee v = 2 \vee v = 3$
- $v + 2t < 4$
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- $\neg b \vee 1 < t$
- $b$
- $\forall z. \neg v = z \vee \neg(z < t)$

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### SAT Problem

- $p_1 \vee p_2 \vee p_3$
- $p_4$
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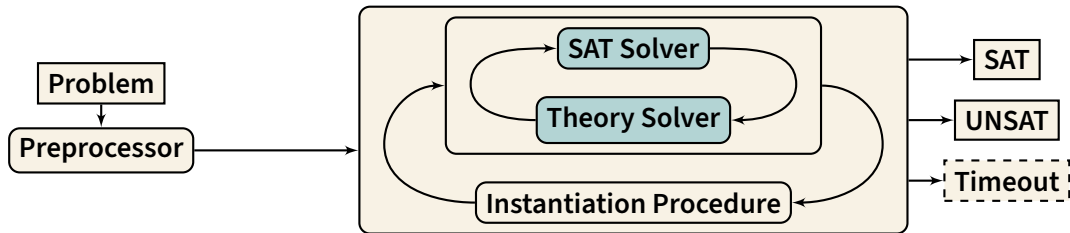
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### Theory Literals

- $p_1 := v = 1, p_2 := v = 2, p_3 := v = 3$
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## SMT Solving As A Diagram



# An Example: The SAT Solver and the Theory Solver

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## SAT Solver

I pick  $b, p_2, p_4$ , and  $p_5$  😊

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1. I get  $v = 2, v + 2t < 4$ , and  $t > 1$
2. Doesn't work:  
 $\neg v = 2 \vee \neg(v + 2t < 4) \vee \neg t > 1$  🤔

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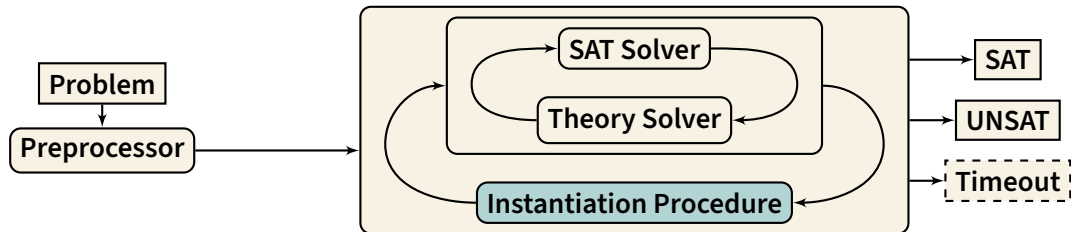
I have to pick  $b, p_1, p_4$ , and  $p_5$  🙌

## Linear Arithmetic Solver

1. I get  $v = 1, v + 2t < 4$ , and  $t > 1$
2. That works! 🎉



## SMT Solving As A Diagram



# An Example: Quantifier Instantiation

## SAT Problem

- $p_1 \vee p_2 \vee p_3$
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- $p_1 := v = 1, p_2 := v = 2, p_3 := v = 3$
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## Instantiation Procedure

- I have  $\forall z. \neg v = z \vee \neg z < t$

# An Example: Quantifier Instantiation

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## Instantiation Procedure

- I have  $\forall z. \neg v = z \vee \neg z < t$
- What happens if I pick  $z \leftarrow 1$ ? 😈

# An Example: Quantifier Instantiation

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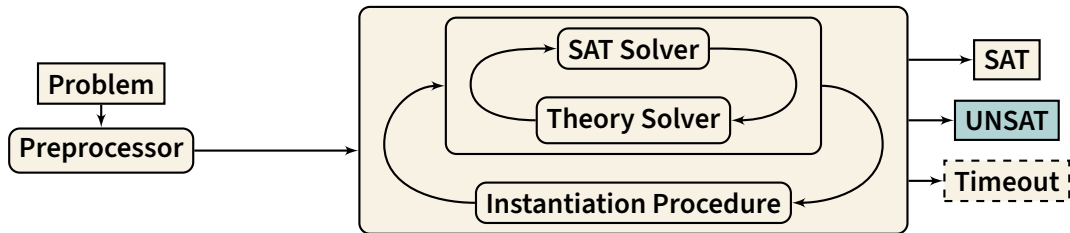
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## SAT Solver

- That's  $\neg p_1 \vee \neg p_5$
- Oh no 😞

## SMT Solving As A Diagram



Part II

## Using SMT Solvers



## Some Solvers You Can Try (a Biased List)



- Industrial strength
- Supports everything
- `cvc5.github.io`



- Small solver
- Excellent proofs, good quantifier support
- `www.verit-solver.org`



- Very established
- Also supports everything
- `https://github.com/Z3Prover/z3`



Bitwuzla

- Specialized on bit-vectors, and floating-points
- Very fast
- `bitwuzla.github.io`



## SMT-LIB as a Common Language

```
(set-logic LRA)
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(assert b)
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Most SMT solvers support SMT-LIB



Annual competition (SMT-COMP)



Large benchmark library

## All

- read SMT-LIB
- solve a subset of official theories
  - uninterpreted functions
  - (linear) arithmetic
  - arrays
  - algebraic data-types
  - strings
  - bitvectors
  - floating-point arithmetic
  - quantifiers
- solve some proprietary theories, or extensions
  - bags, sets, higher-order quantifiers, ...

# What SMT Solvers Can Do

## All

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- solve a subset of official theories
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- solve some proprietary theories, or extensions
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## Most

- give you models
- give you cores
- have some API

## Some

- give you proofs
- give you interpolants
- can optimize
- have a high-assurance mode
  - cvc5
- have a tactics language
  - Z3

Part III

## Evaluating SMT Solvers





# The SMT-LIB Benchmarks

## Fun Facts

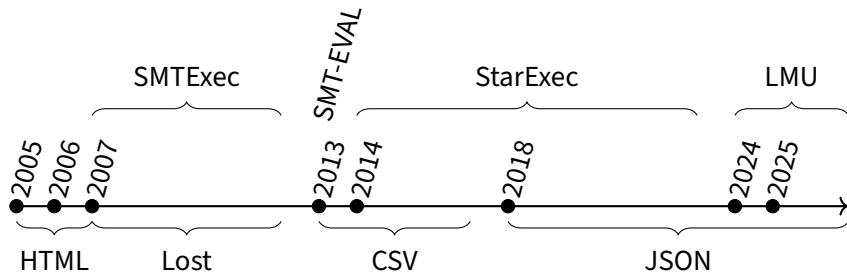
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- 34,614,311 queries
- 287 families
- 2,630,828 queries in one benchmark
- a 1.9GB query
- up to 3,515,188 open parentheses

# The SMT-LIB Benchmarks

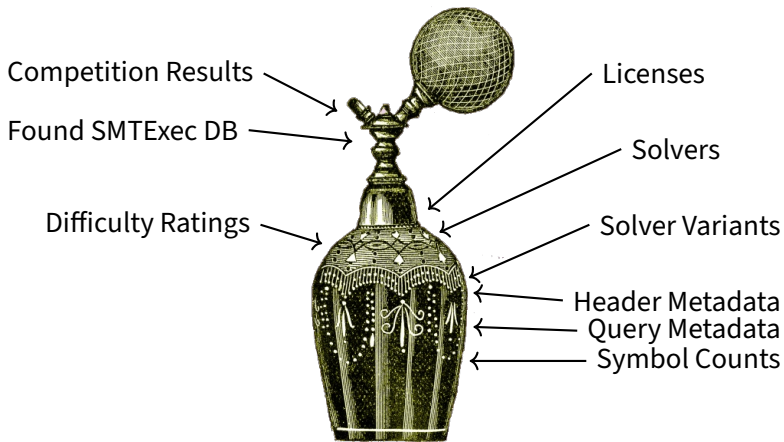
## Fun Facts

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## SMT Competitions

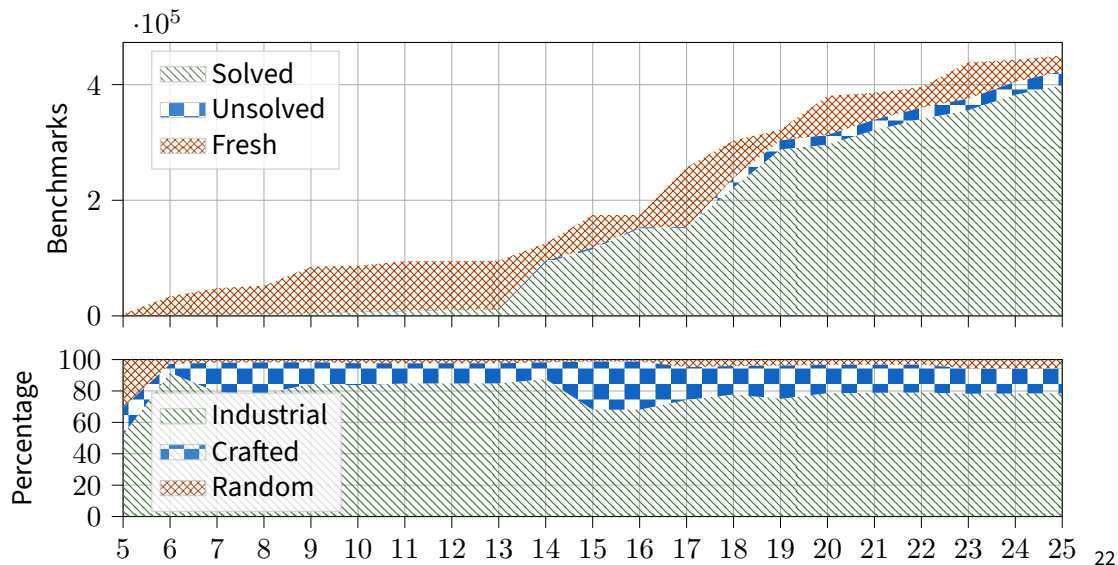


## Bottling Everything Up

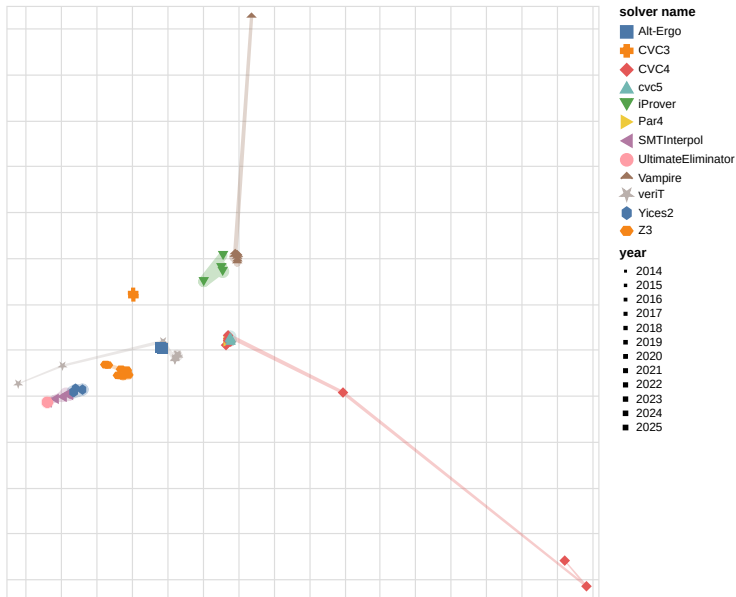


**SQLite**  
[explore.smt-lib.org](http://explore.smt-lib.org)

## The SMT-LIB Benchmarks Over Time



# Isomap: UF



### Conflicting Instances! (Reynolds et al. 2016, Barbosa et al. 2017)

#### Idea

1. Given: ground model  $M$
  2.  $F := t = u$  or  $F := t \neq u$  with variables  $V$
  3. Find ground substitution  $\sigma$  on  $V$  s.t.
  4.  $M \wedge F\sigma \models_{EUF} \perp$
- + Like a theory lemma!
  - + Generalization of E-matching.
  - Often fails.

#### Example

1. Model:  $a = b, g(a) \neq f(b)$
2. and  $\forall x. g(x) = f(x)$

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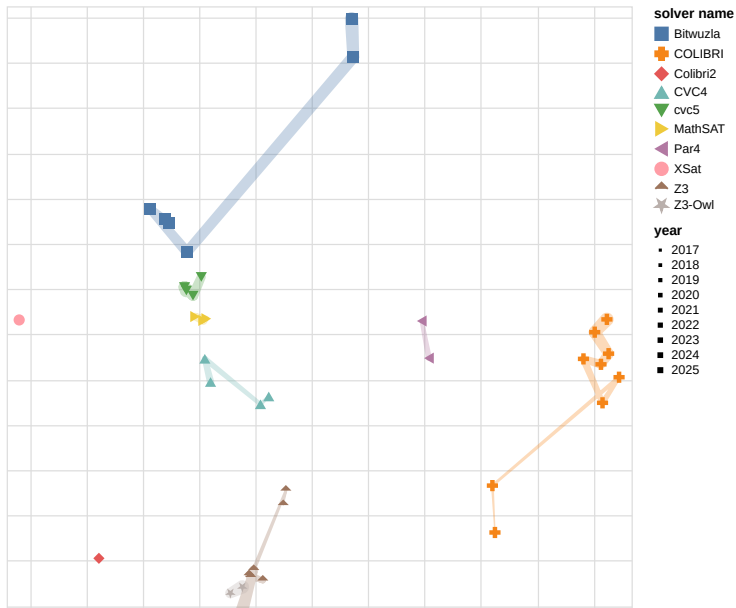
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  - + Like a theory lemma!
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  - Often fails.

#### Example

1. Model:  $a = b, g(a) \neq f(b)$
2. and  $\forall x. g(x) = f(x)$
3.  $\sigma = \{x \leftarrow a\}$
4. gives us:  $g(a) = f(a)$

# Isomap: QF\_FP





**Thank You!**  
Questions? Benchmarks?



**IOWA**